



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Segal et al.
Serial No.: 09/774,768 Examiner: To Be Assigned
Filed: January 31, 2001 Group At Unit: To Be Assigned
For: VIRTUAL TRADING FLOOR SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231.

October 19, 2001

Date of Deposit

Douglas C. Wyatt

Attorney Name

43,293

Registration No.

SignatureOctober 19, 2001

Date of Signature

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

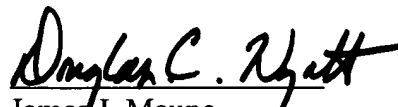
Applicants respectfully request that the five (5) documents listed below
and on the accompanying Form PTO-1449 and International Search Report be
considered and made of record in the above-identified application. Copies of the Search
Report and the following documents are enclosed:

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1. B. Delaney, "The NYSE's 3D Trading Floor", IEEE Computer Graphics and Applications, Vol. 19, no. 6, pp12-15; November/December 1999; XP002171186.
2. K. Moltenbrey, "Taking Stock", Computer Graphics World, pp.41-44, October 1999; XP001011554.
3. Andia et al., "Architects design in cyberspace and real space for the NYSE", August 1999; www.members.tripod.com; XP002171187.
4. Gobbetti et al., "I3D: an interactive system for exploring annotated 3D environments", Center for Advanced Studies, Research and Development in Sardina; pp.16-30; September 1995; XP001011596.
5. "New York Stock Exchange Employs Silicon Graphics Technology in its Advanced Trading Floor Operations Center", SGI Press Release; March 9, 1999; www.sgi.com/newsroom/press_releases; XP002171189.

Applicants do not believe any fee is required herewith. If any fee is required, the Commissioner is hereby authorized to deduct the requisite fee from Deposit Account No. 02-4377.

Respectfully submitted,



James J. Maune
PTO Reg. No. 26,946
Douglas C. Wyatt
PTO Reg. No. 43,293

Attorneys for Applicants
(212) 408-2506

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